**David S. Lucas**

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Martin J. Whitman School of Management

Syracuse University

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# POSITIONS

Syracuse University

Edward Pettinella Assistant Professor of Entrepreneurship, Department of Entrepreneurship and Emerging Enterprises, Martin J. Whitman School of Management, May 2023–.

Assistant Professor of Entrepreneurship, Department of Entrepreneurship and Emerging Enterprises, Martin J. Whitman School of Management, August 2019–.

Research Fellow, Institute for an Entrepreneurial Society, August 2019–.

Postdoctoral Research Fellow, Institute for an Entrepreneurial Society, 2018–2019.

# EDUCATION

PhD, Economics, George Mason University, 2018.

Dissertation: “Non-Market Entrepreneurship and Public Policy: The Case of Homelessness in the United States.”

MA, Economics, George Mason University, 2015.

BS, Finance, minor in Economics, George Mason University, 2014, *summa cum laude*.

# PUBLICATIONS

## Journal Articles

Lucas, David S. and U. David Park. “The Nature and Origins of Social Venture Mission: An Exploratory Study of Political Ideology and Moral Foundations.” *Journal of Business Venturing*, 38, no. 2 (2023): 106271.

David S. Lucas, Cristiano Bellavitis, and U. David Park. “A Cloud’s Silver Lining? The Impact of Policy Interventions on New and Maturing Technology Ventures’ Online Recruitment.” *Strategic Entrepreneurship Journal*, *17*, no. 2 (2023): 445–484.

Boudreaux, Christopher J., Daniel J. Bennett, David S. Lucas, and Boris Nikolaev. “Taking Mental Models Seriously: Institutions, Entrepreneurship, and the Mediating Role of Socio-Cognitive Traits.” *Small Business Economics*, *61* (2023): 465–493.

Lucas, David S., Matthew G. Grimes, and Joel Gehman. “Remaking Capitalism: The Strength of Weak Legislation in Mobilizing B Corporation Certification.” *Academy of Management Journal* 65, no. 3 (2022): 958–987.

* *People’s Choice Award*, B Academics Roundtable (Virtual Event), September 2021.
* *Honor Roll*, Responsible Research in Business and Management, August 2022.

Lucas, David S., Caleb S. Fuller, and Mark D. Packard. “Made to be Broken? A Theory of Regulatory Governance and Rule-Breaking Entrepreneurial Action.” *Journal of Business Venturing* 37, no. 6 (2022): 106250.

* *Lazaridis Award* for best paper of the year, Journal of Business Venturing, 2022.

Boudreaux, Christopher J., Niklas Elert, Magnus Henrekson, and David S. Lucas. “Entrepreneurial Accessibility, Eudaimonic Well-Being, and Inequality.” *Small Business Economics* 59, no. 3 (2022): 1061–1079.

Lucas, David S., and Christopher J. Boudreaux. “National Regulation, State-Level Policy, and Local Job Creation in the United States: A Multilevel Perspective.” *Research Policy* 49, no. 4 (2020): 103952.

* *Best Paper Award on the Topic of Public Policy*, Babson College Entrepreneurship Research Conference, 2019.

Lucas, David S. “The Political Economy of the Collaborative Innovation Bloc.” *The Review of Austrian Economics* 32, no. 4 (2019): 331–338.

Lucas, David S., Caleb S. Fuller, Ennio E. Piano, and Christopher J. Coyne. “Visions of Entrepreneurship Policy.” *Journal of Entrepreneurship and Public Policy*, 7, no. 4 (2018): 336­–356.

Lucas, David S. “Evidence-Based Policy as Public Entrepreneurship.” *Public Management Review*, 20, no. 11 (2018): 1602–1622.

Lucas, David S., Caleb S. Fuller, and Ennio E. Piano. “Rooking the State.” *International Review of Law and Economics*, 55, September (2018), 12–20.

Lucas, David S., and Caleb S. Fuller. “Bounties, Grants, and Market-Making Entrepreneurship.” *The Independent Review: A Journal of Political Economy*, 22, no. 4 (2018).

Corinth, Kevin and David S. Lucas. “When warm and cold don't mix: The implications of climate for the determinants of homelessness.” *Journal of Housing Economics*, 41 (2018), 45–56.

Lucas, David S., and Caleb S. Fuller. “Entrepreneurship: Productive, Unproductive, and Destructive—Relative to What?” *Journal of Business Venturing Insights* 7 (2017): 45-49.

Lucas, David S. “The Impact of Federal Homelessness Funding on Homelessness.” *Southern Economic Journal*, 84, no. 2 (2017), 548–576.

* *Top 20 Downloaded Articles, 2017–2018*.

Lucas, David S. “Federal Homelessness Policy: A Robust Political Economy Approach.” *Review of Austrian Economics*, 30, no. 2 (2017): 277–303.

Fuller, Caleb S. and David S. Lucas. “Pricing Political Rallies.” *Economic Affairs*, 37, no. 2 (2017): 271–278.

Coyne, Christopher J. and David S. Lucas. “Economists Have No Defense: A Critical Review of National Defense in Economics Textbooks.” *Journal of Private Enterprise*, 31, no. 4 (2016): 65–85. Also *Best Educational Note*, Association of Private Enterprise Education, 2017.

## Book Chapters

Lucas, David S. and Christopher Boudreaux. “When ‘What Works’ Does Not Work: The United States’ Mission to End Homelessness.” In *Moonshots and the New Industrial Policy: Questioning the Mission Economy*, Eds. Henrekson, Magnus, Christian Sandström, and Mikael Stenkula; Springer Cham, Switzerland (2024): 145–168.

Lucas, David S. “Austrian economics and mainstream entrepreneurship: Retrospect and prospect.” In *A Research Agenda for Austrian Economics*, Eds. Horwitz, Stephen and Louis Rouanet. (2023): 45–68.

Lucas, David S. “Non-market Competition as a Discovery Procedure.” In *Entrepreneurship and the Market Process*, Eds. John, Arielle and Diana Thomas. (2019): 97–119.

## Book Reviews

Caleb S. Fuller. “No Free Lunch: Six Economic Lies You’ve Been Taught and Probably Believe,” *Review of Austrian Economics*, (2022). https://doi.org/10.1007/s11138-021-00568-3

Beck, Elizabeth, & Pamela Twiss. “The Homelessness Industry: A Critique of US Social Policy,” *The Independent Review: A Journal of Political Economy*, 24, no. 2 (2019).

Bylund, Per L. “The Seen, the Unseen, and the Unrealized: How Regulations Affect Our Everyday Lives,” *Public Choice*, 170, no. 3 (2017): 323–325.

Burnes, Donald W. and David DiLeo (eds). “Ending Homelessness: Why We Haven’t, How We Can.” *The Independent Review: A Journal of Political Economy*, Winter (2017).

## Conference Proceedings

Lucas, David S. “Election Selection: The Effect of Incumbent Displacement in US Gubernatorial Elections on Entrepreneurship.” *Frontiers of Entrepreneurship Research Proceedings* (2023), forthcoming.

Lucas, David S. and U. David Park. “Political Ideology and the Moral Foundations of Prosocial Venture Creation.” *Frontiers of Entrepreneurship Research Proceedings* (2022).

George, Kurian, David S. Lucas, and Maria Minniti. “Adversity, Resilience, and Refugees’ Venturing.” *Frontiers of Entrepreneurship Research Proceedings* (2020).

Lucas, David S. and Christopher J. Boudreaux. “Regulation, Economic Freedom, and Entrepreneurial Job Creation: A Multilevel Governance Approach.” *Frontiers of Entrepreneurship Research Proceedings* (2019).

## Under Review *(titles redacted for review)*

Lucas, David S. Entrepreneurship and institutional uncertainty. *Journal of Business Venturing* (revise and resubmit).

Lucas, David S. Diversification in startups. *Organization Science*.

## In Progress

Lucas, David S. and Joel Gehman. Social enterprise governance and survival.

Lucas, David S. and U. David Park. Political polarization and social venture creation.

U. David Park, David S. Lucas, and Jung H. Kwon. Political ideology and nonprofit strategy.

Lucas, David S., U. David Park, and Joel Gehman. Family-founded nonprofit survival.

George, Kurian, David S. Lucas, and Maria Minniti. Institutions and immigrant entrepreneurs’ performance.

Lucas, David S., U. David Park, and Maria Minniti. Strategic change in the homeless shelter sector.

Boudreaux, Christopher J. and David S. Lucas. Regulation and industry change.

Dean, Thomas J. and David S. Lucas. Market frictions and entrepreneurial action.

## Policy Reports

Lucas, David S. “From the Bottom Up: Rebuilding Federal Homelessness Policy.” *IES Policy Report*. Syracuse, NY: Institute for an Entrepreneurial Society, (2020).

## Op Eds / Popular Writing

Lucas, David S., Cristiano Bellavitis, and U. David Park. June 19, 2023. *Entrepreneur & Innovation Exchange.* “COVID Policy Interventions Changed How Companies Hire.”

Lucas, David S. *Real Clear Policy*. January 23, 2020. “Counting the Homeless. Searching for Solutions.”

# PRESENTATIONS

## Congressional Testimony

*Committee on Financial Services*, U.S. House of Representatives: February 2019. “Homeless in America: Examining the Crisis and Solutions to End Homelessness.”

## Academic Conferences / Invited Talks

*Ivey Business School Research Seminar*, London, ON: November 2023: “Treasures Old and New: A Survivor-Based View of Novelty, Persistence, and Change in Born-Diversified Firms.” (invited talk).

*Academy of Management Annual Meeting*, Boston, MA: August 2023: “Laws, Certifications, and Impact—Oh My! How Interdependent Systems Enable and Constrain Business as a Force for Good.” (peer reviewed).

*Academy of Management Annual Meeting*, Boston, MA: August 2023: “Too Much to Lose? The Impact of Regulatory Complexity and Founders’ Human Capital on New Ventures’ Industry Switching.” (peer reviewed).

*Academy of Management Annual Meeting*, Boston, MA: August 2023: “A Cloud’s Silver Lining? The Impact of Policy Interventions on New and Maturing Technology Ventures’ Online Recruitment.” (peer reviewed).

*Babson College Entrepreneurship Research Conference*, Knoxville, TN: June 2023: “The Effect of Incumbent Displacement in US Gubernatorial Elections on Entrepreneurship.” (peer reviewed).

*Sustainability, Ethics, and Entrepreneurship Conference*, San Juan, PR: March 2023: “The Road to Hell? Compassion, Power, and the (Co)Production of Social Impact.” (peer reviewed).

*B Academics Roundtable*, Virtual (and in Philadelphia, PA): September 2022. “Third Party Standards and Sustainability Reporting: The Case of Minnesota Benefit Corporations.” (peer reviewed).

*George Mason Law and Economics Center Roundtable on Industry Self-Regulation*, Arlington, VA: June 2022. “Forget Not All Their Benefits: Third Party Standards and Social Impact Reporting Among Benefit Corporations.”

*Babson College Entrepreneurship Research Conference*, Waco, TX: June 2022. “Political Ideology and the Moral Foundations of Mission: The Case of Nonprofit Venture Creation in the United States.” (peer reviewed).

*USC Social Entrepreneurship Conference*, Virtual: November 2021. “Political Ideology and the Moral Foundations of Mission: The Case of Nonprofit Venture Creation in the United States.” (peer reviewed).

*B Academics Roundtable*, Virtual: September 2021. “Remaking Capitalism: The Strength of Weak Legislation in Mobilizing Certification.” (peer reviewed).

*Institute for Human Studies Academic Research Symposium, “Managing Risk and Uncertainty: The Challenge of Entrepreneurship,”* Denver, CO: August 2021. “Made to be Broken? Toward a Theory of Rule-Breaking Entrepreneurial Action.”

*Academy of Management Annual Meetings*, Virtual: August 2021. “Entrepreneurial Action, Public Policy, and Grand Challenges: An Entangled Political Economy Perspective.” (peer reviewed).

*Babson College Entrepreneurship Research Conference*, Babson Park, MA: June 2019. “Regulation, Economic Freedom, and Entrepreneurial Job Creation: A Multilevel Governance Approach” (peer reviewed).

*Johns Hopkins University Symposium on Market Solutions to Grand Challenges*, Baltimore, MD: April 2019: “Urban Homelessness and the Entrepreneurial Society” (peer reviewed).

*Southern Economic Association Annual Meetings*, Washington, DC: November 2018. “Sponsorship and Organizational Adaptation in the Homeless Shelter Industry” (peer reviewed).

*Academy of Management Annual Meetings*, Chicago, IL: August 2018. “Sponsorship and Organizational Adaptation in the Homeless Shelter Industry” (peer reviewed).

*Babson College Entrepreneurship Research Conference*, Waterford, Ireland: June 2018. “Whiskey Business: Local Prohibition and the Industry Evolution of Kentucky Bourbon, 1865–1919” (peer reviewed).

*Syracuse University Department of Entrepreneurship and Emerging Enterprises Seminar Series*, Syracuse, NY: April 2018. “Sponsorship and Organizational Adaptation in the Homeless Shelter Industry.”

*Research Institute of Industrial Economics Seminar Series*,Stockholm, Sweden: April 2018.“Institutional Knowledge Spillovers, Entrepreneurship, and Economic Decline.”

*Association for Private Enterprise Education Annual Meetings*, Las Vegas, NV: April 2018. “Whiskey Business: Local Prohibition and the Industry Evolution of Kentucky Bourbon, 1865–1919” (peer reviewed).

*Association for Private Enterprise Education Annual Meetings*, Las Vegas, NV: April 2018. “Institutional Knowledge Spillovers, Entrepreneurship, and Economic Decline” (peer reviewed).

*New York University Colloquium on Market Institutions and Economic Processes,* New York, NY: February 2018.“Institutional Knowledge Spillovers, Entrepreneurship, and Economic Decline.”

*Southern Economic Association Annual Meetings*, Tampa, FL: November 2017. “Contestability and Non-Market Strategy” (peer reviewed).

*Baugh-Ratio Colloquium for Young Social Scientists*, Stockholm, Sweden: August 2017. “Contestability and Non-Market Strategy.”

*Great Lakes Entrepreneurship Network Conference*, Syracuse, NY: May 2017. “The Diffusion of Social Innovation: Homeless Services in the United States, 2007–2016.”

*Public Choice Society Annual Meetings*, New Orleans, LA: March 2017. “Rooking the State” (peer reviewed).

*Southern Economic Association Annual Meetings*, Washington, DC: November 2016. “Evidence-Based Policy as Public Entrepreneurship” (peer reviewed).

*Association for Private Enterprise Education Conference*, Las Vegas, NV: April 2016. “Federal Homelessness Policy: A Robust Political Economy Approach” (peer reviewed).

*Institute for Humane Studies Graduate Research Colloquium*, Arlington, VA: November 2015. “Federal Homelessness Policy: A Robust Political Economy Approach.”

## Public Talks

*Whitman School of Management Virtual Panel*, Syracuse, NY: October 2020. “Entrepreneurship in Times of Crisis” (Webinar).

*Whitman Advisory Council*, Syracuse, NY: April 2020: “Homelessness and the Entrepreneurial Society” (Webinar).

*Institute for an Entrepreneurial Society*, Syracuse, NY: March 2020: “Homelessness and the Entrepreneurial Society” (Lunch Event for Whitman staff).

# AWARDS AND FELLOWSHIPS

## Awards

Edward Pettinella Assistant Professorship in Business, *Syracuse University Whitman School of Management*, 2023–2025.

Lazaridis Award for best paper in Journal of Business Venturing: “Made to be Broken? A Theory of Regulatory Governance and Rule-Breaking Entrepreneurial Action” (with Caleb S. Fuller and Mark Packard), *Journal of Business Venturing*, 2022.

Honor Roll of Responsible Research in Business and Management: “Remaking Capitalism: The Strength of Weak Legislation in Mobilizing Certification” (with Matthew Grimes and Joel Gehman), *Responsible Research in Business and Management*, 2022.

Dean’s Research Citation, *Syracuse University Whitman School of Management*, 2022.

Faculty Member of the Year, Entrepreneurship & Emerging Enterprises Department. *Syracuse University Martin J. Whitman School of Management,* 2022.

People’s Choice Award: “Remaking Capitalism: The Strength of Weak Legislation in Mobilizing Certification” (with Matthew Grimes and Joel Gehman), *B Academics Roundtable*, 2021.

Best Paper Award on the topic of Public Policy: “Regulation, Economic Freedom, and Entrepreneurial Job Creation: A Multilevel Governance Approach” (with Christopher J. Boudreaux), *Babson College Entrepreneurship Research Conference*, 2019.

Top 20 Downloaded Article in 2017–2018: “The Impact of Federal Homelessness Funding on Homelessness,” *Southern Economic Journal*, 2019.

Israel M. Kirzner Award for Outstanding Dissertation in Austrian Economics, *George Mason University Department of Economics*, 2018.

William P. Snavely Award for Outstanding Achievement in Graduate Studies in Economics, *George Mason University Department of Economics*, 2018.

Horowitz Foundation for Social Policy Grant: Eli Ginzberg Award for most outstanding project in Health and Welfare, particularly in urban settings, *Horowitz Foundation for Social Policy*, 2017.

Best Educational Note, “Economists Have No Defense: A Critical Review of National Defense in Economics Textbooks” (with Christopher J. Coyne), *Association of Private Enterprise Education*, 2017.

Kathryn Brooks Award, *Osher Lifelong Learning Institute at George Mason University*, 2017.

## Fellowships

Visiting PhD Fellow, *Institute for an Entrepreneurial Society*, Syracuse University, 2017­–2018.

Graduate Fellow, F.A. Hayek Program for Advanced Study in Philosophy, Politics, and Economics, *Mercatus Center*, George Mason University, 2014–2018.

JIN Fellow, *Mercatus Center*, 2017­–2018.

Dissertation Fellowship, *Mercatus Center*, 2017–2018.

Summer Research Fellowship, *Mercatus Center*, 2015, 2016, 2017, 2018.

Humane Studies Fellowship, *Institute for Humane Studies*, 2016, 2017.

Student Fellow, *Public Choice Society*, 2017.

Young Scholar Award, *Association of Private Enterprise Education*, 2016, 2017, 2018.

# Editorial service

## Editorial Review Boards

*Journal of Business Venturing*, July 2023–.

*Small Business Economics Journal*, December 2019–.

*Journal of Entrepreneurship & Public Policy*, October 2018­­–December 2020.

# REFEREE WORK

*Journal of Business Venturing; Entrepreneurship Theory and Practice; Research Policy; Journal of Management; Academy of Management Perspectives; Small Business Economics Journal*; *Journal of Business Venturing Insights*; *Journal of Economic Behavior and Organization; Venture Capital; Journal of Entrepreneurship and Public Policy*; *Regional Science and Urban Economics;* *Public Choice;* *The Independent Review: A Journal of Political Economy; Journal of Private Enterprise; Review of Austrian Economics; Quarterly Journal of Austrian Economics; Public Management Review; Policy Studies; Journal of Nonprofit and Public Affairs; National Science Foundation; Academy of Management Annual Meetings*

# TEACHING/Advising

## Graduate Student Advising

### Dissertation Committees

Devin Stein, Syracuse University – Whitman School of Management (Ph.D. 2023). Placement: University of Alabama.

Kurian George, Syracuse University – Whitman School of Management (Ph.D. 2022). Placement: Tilburg University.

*Masters Practicum Committee*

Dea Closson, Syracuse University – Falk College (2023).

## Primary Instructor

### Syracuse University

*Social Entrepreneurship in Action*, EEE 440 – M001, Spring 2019; M001, Spring 2020; M001, Spring, 2021; M001, Spring 2022.

*Social Entrepreneurship*, EEE 640 – M001, Spring 2019; M001, Spring 2020; M001, Spring 2021; M001, Spring 2022 (Graduate).

*Introduction to Entrepreneurship*, EEE 370 – M003, M006, Spring 2020; M003, M006, Spring 2021; M006, Spring 2022.

*Strategic and Entrepreneurial Management*, EEE 457 – M003, Fall 2018.

*Independent Study,* EEE 490 – M001, Spring 2022.

### George Mason University

*Economic Problems and Public Policies*, ECON 309 – 003, 004,Spring 2017; ECON 309 – 003, Fall 2016.

*Intermediate Microeconomics*, ECON 306 – 004, Fall 2016.

## Teaching Assistant

### George Mason University

*Economic Problems and Public Policies* (TA to Christopher J. Coyne), ECON 309 – 601, Summer 2016, Summer 2017.

*Financial Management* (TA to Steven Pilloff), FNAN 301 – 001, School of Business, George Mason University, Fall 2013.

## Other Experience

Faculty Mentor to Jonathan Matt, “Beyond the Gas Tax: Financing California’s Transportation Infrastructure in the 21st Century,” Presented at *National Conference on Undergraduate Research*, 2017.

\_\_\_\_, “The Unintended Consequences of Digital Privacy Regulation on Entrepreneurship: The Case of COPPA,” *Undergraduate Summer Research Program*, Office of Student Scholarship, Creative Activities, and Research, George Mason University, 2017.

Graduate Tutor, F.A. Hayek Program for Advanced Study in Philosophy, Politics, and Economics, Mercatus Center, 2015.